



## The graph sandwich problem for 1-join composition is NP-complete

Celina M.H. de Figueiredo<sup>a,\*</sup>, Sulamita Klein<sup>a</sup>, Kristina Vušković<sup>b</sup>

<sup>a</sup>IM and COPPE, Universidade Federal do Rio de Janeiro, Caixa Postal 68530,  
21945-970 Rio de Janeiro, RJ, Brazil

<sup>b</sup>School of Computing, University of Leeds, Leeds LS2 9JT, UK

Received 17 May 1999; received in revised form 13 April 2001; accepted 26 April 2001

---

### Abstract

A graph is a 1-join composition if its vertex set can be partitioned into four nonempty sets  $A_L$ ,  $A_R$ ,  $S_L$  and  $S_R$  such that: every vertex of  $A_L$  is adjacent to every vertex of  $A_R$ ; no vertex of  $S_L$  is adjacent to vertex of  $A_R \cup S_R$ ; no vertex of  $S_R$  is adjacent to a vertex of  $A_L \cup S_L$ . The graph sandwich problem for 1-join composition is defined as follows: Given a vertex set  $V$ , a forced edge set  $E^1$ , and a forbidden edge set  $E^3$ , is there a graph  $G = (V, E)$  such that  $E^1 \subseteq E$  and  $E \cap E^3 = \emptyset$ , which is a 1-join composition graph? We prove that the graph sandwich problem for 1-join composition is NP-complete. This result stands in contrast to the case where  $S_L = \emptyset$  ( $S_R = \emptyset$ ), namely, the graph sandwich problem for homogeneous set, which has a polynomial-time solution. © 2002 Elsevier Science B.V. All rights reserved.

*Keywords:* Computational complexity; Graph algorithms; Sandwich problems; Perfect graphs

---

### 1. Introduction

We say that a graph  $G^1 = (V, E^1)$  is a *spanning* subgraph of  $G^2 = (V, E^2)$  if  $E^1 \subseteq E^2$ ; we say that a graph  $G = (V, E)$  is a *sandwich* graph for the pair  $G^1, G^2$  if  $E^1 \subseteq E \subseteq E^2$ . For notational simplicity in the sequel, we let  $E^3$  be the set of all edges in the complete graph with vertex set  $V$  which are not in  $E^2$ . Thus every sandwich graph for the pair  $G^1, G^2$  satisfies  $E^1 \subseteq E$  and  $E \cap E^3 = \emptyset$ . We call  $E^1$  the *forced edge set*, and  $E^3$  the *forbidden edge set*. The GRAPH SANDWICH PROBLEM FOR PROPERTY  $\Phi$  is defined as follows [11]:

GRAPH SANDWICH PROBLEM FOR PROPERTY  $\Phi$

*Instance:* Vertex set  $V$ , forced edge set  $E^1$ , forbidden edge set  $E^3$ .

---

\* Corresponding author.

*E-mail addresses:* celina@cos.ufrj.br (C.M.H. de Figueiredo), sula@cos.ufrj.br (S. Klein),  
vuskovi@comp.leeds.ac.uk (K. Vušković).

*Question:* Is there a graph  $G = (V, E)$  such that  $E^1 \subseteq E$  and  $E \cap E^3 = \emptyset$  that satisfies property  $\Phi$ ?

Graph sandwich problems have attracted much attention lately arising from many applications and as a natural generalization of recognition problems [4,9–15]. The recognition problem for a class of graphs  $\mathcal{C}$  is equivalent to the graph sandwich problem in which the forced edge set  $E^1 = E$ , the forbidden edge set  $E^3 = \emptyset$ ,  $G = (V, E)$  is the graph we want to recognize, and property  $\Phi$  is “to belong to class  $\mathcal{C}$ ”.

Perfect graphs were introduced by Berge [1] as those graphs for which, in every induced subgraph, the size of a largest clique is equal to the chromatic number. The recognition problem for the class of perfect graphs is a famous open problem in computational complexity [16].

Golumbic et al. [11] have considered sandwich problems with respect to several subclasses of perfect graphs, and proved that the GRAPH SANDWICH PROBLEM FOR SPLIT GRAPHS remains in  $P$ . On the other hand, they proved that the GRAPH SANDWICH PROBLEM FOR PERMUTATION GRAPHS turns out to be NP-complete.

We are interested in graph sandwich problems for properties  $\Phi$  related to compositions arising in perfect graph theory. Several compositions of graphs are known to preserve perfection: graph substitution [17], join composition [3,6,8], clique identification [2].

A graph  $G = (V, E)$  has a *homogeneous set*  $H$ ,  $H \subset V$ , if each vertex of  $V \setminus H$  is either adjacent to all vertices of  $H$  or to none of the vertices of  $H$ ,  $|H| \geq 2$  and  $|V \setminus H| \geq 1$ . Polynomial algorithms for finding homogeneous sets are given in [5,18–21]. A graph  $G = (V, E)$  is a *1-join partition* (or *join partition*) if its vertex set  $V$  can be partitioned into sets  $V_L$  and  $V_R$  so that  $|V_L| \geq 2$  and  $|V_R| \geq 2$ ,  $V_L$  contains a nonempty set  $A_L$ ,  $V_R$  contains a nonempty set  $A_R$ , with the property that every node of  $A_L$  is adjacent to every node of  $A_R$ , no node of  $V_L \setminus A_L$  is adjacent to a node of  $V_R$ , and no node of  $V_R \setminus A_R$  is adjacent to a node of  $V_L$ . Let  $S_L = V_L \setminus A_L$  and  $S_R = V_R \setminus A_R$ . If  $S_L = \emptyset$ , then  $A_L$  is a homogeneous set in  $G$ , and if  $S_R = \emptyset$ , then  $A_R$  is a homogeneous set in  $G$ . In [4], a polynomial-time algorithm is given for solving the graph sandwich problem when property  $\Phi$  is to contain a homogeneous set. To distinguish the property of containing a homogeneous set from the property of being a 1-join partition graph, we further impose the condition that  $S_L \neq \emptyset$  and  $S_R \neq \emptyset$ . In this case, we say that  $V_L|V_R$  is a *1-join composition* of  $G$ , with *left side*  $V_L$ , with *right side*  $V_R$ , and with *special sets*  $S_L, A_L, S_R, A_R$ . A polynomial-time algorithm, of complexity  $O(n^3)$ , for 1-join composition recognition is given in [7]. In this paper, we prove that the graph sandwich problem when property  $\Phi$  is “to be a 1-join composition” is NP-complete, even when restricted to instances that admit a solution for the homogeneous set sandwich problem.

## 2. Proof of NP-completeness

In this section, we prove that the GRAPH SANDWICH PROBLEM FOR 1-JOIN COMPOSITION is NP-complete by reducing the NP-complete problem 3-SATISFIABILITY to GRAPH SAND-

WICH PROBLEM FOR 1-JOIN COMPOSITION. These two decision problems are defined as follows:

**3-SATISFIABILITY (3SAT)**

*Instance:* Set  $X = \{x_1, \dots, x_n\}$  of variables, collection  $C = \{c_1, \dots, c_m\}$  of clauses over  $X$  such that each clause  $c \in C$  has  $|c| = 3$  literals.

*Question:* Is there a truth assignment for  $X$  such that each clause in  $C$  has at least one true literal?

**GRAPH SANDWICH PROBLEM FOR 1-JOIN COMPOSITION**

*Instance:* Vertex set  $V$ , forced edge set  $E^1$ , forbidden edge set  $E^3$ .

*Question:* Is there a graph  $G(V, E)$ , such that  $E^1 \subseteq E$  and  $E \cap E^3 = \emptyset$  which is a 1-join composition?

**Theorem 1.** *The GRAPH SANDWICH PROBLEM FOR 1-JOIN COMPOSITION is NP-complete.*

**Proof.** In order to reduce 3SAT to GRAPH SANDWICH PROBLEM FOR 1-JOIN COMPOSITION, we need to construct a particular instance  $(V, E^1, E^3)$  of GRAPH SANDWICH PROBLEM FOR 1-JOIN COMPOSITION from a generic instance  $(X, C)$  of 3SAT, such that  $C$  is satisfiable if and only if  $(V, E^1, E^3)$  admits a sandwich graph  $G = (V, E)$  which is a 1-join composition. First, we describe the construction of a particular instance  $(V, E^1, E^3)$  of GRAPH SANDWICH PROBLEM FOR 1-JOIN COMPOSITION; second, we prove in Lemma 2 that every 1-join composition  $G = (V, E)$  satisfying  $E^1 \subseteq E$  and  $E \cap E^3 = \emptyset$  defines a truth assignment for  $(X, C)$ ; third, we prove in Lemma 3 that every truth assignment for  $(X, C)$  defines a 1-join composition  $G = (V, E)$  satisfying  $E^1 \subseteq E$  and  $E \cap E^3 = \emptyset$ . These steps are explained in detail below.  $\square$ .

**Construction of particular instance of GRAPH SANDWICH PROBLEM FOR 1-JOIN COMPOSITION.**

The vertex set  $V$  contains: an auxiliary set of vertices  $\{v_L, v, a_L, a_R, s_L, s_R\}$ ; for each SAT variable  $x_k$ ,  $1 \leq k \leq n$ , one corresponding vertex  $x_k$ ; for each SAT clause  $c_i$ ,  $1 \leq i \leq m$ , three vertices  $z_1^i, z_2^i$  and  $z_3^i$ , and three sets of vertices  $V_1^i, V_2^i$  and  $V_3^i$ . As the notation of the auxiliary vertices suggests, the role of those vertices is to define the sides of the remaining vertices in a 1-join composition of the graph we are about to construct. As we shall see, in any 1-join composition for this graph, vertices  $a_L$  and  $s_L$  have to be placed on the same side as vertex  $v_L$ , vertices  $a_R$  and  $s_R$  have to be opposite to vertex  $v_L$ . This property of the auxiliary vertices with respect to any 1-join composition will define a forcing for the sides (left or right) of the remaining vertices of the graph.

See Figs. 1–4, where solid edges denote forced  $E^1$ -edges and dashed edges denote forbidden  $E^3$ -edges.

The forced edge set  $E^1$  is the union of sets of edges:  $\{a_L a_R, s_L a_L, a_R s_R\}$ ,  $\{v_L w: w \in \bigcup_{i=1}^m \{z_3^i\} \cup \{x_1, \dots, x_n, s_L, a_R, v\}\}$ ,  $\{vw: w \in \bigcup_{i=1}^m \{z_1^i, z_2^i, z_3^i\} \cup \{x_1, \dots, x_n, a_L, a_R, v_L\}\}$ ,  $\bigcup_{i=1}^m \{x_i a_L, x_i a_R, z_2^i z_3^i, a_R z_1^i, a_R z_2^i, a_R z_3^i, a_L z_3^i\}$ ,  $\bigcup_{i=1}^m (B_1^i \cup B_2^i \cup B_3^i)$ , where  $B_1^i \cup B_2^i \cup B_3^i$  consists of auxiliary forced edges corresponding to each clause.

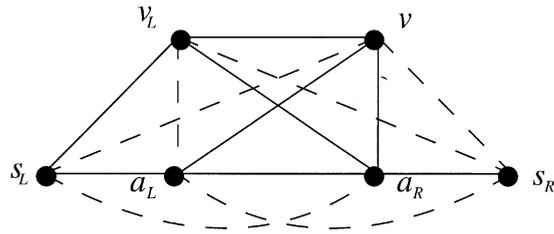


Fig. 1. Auxiliary graph for special instance  $(V, E^1, E^3)$ .

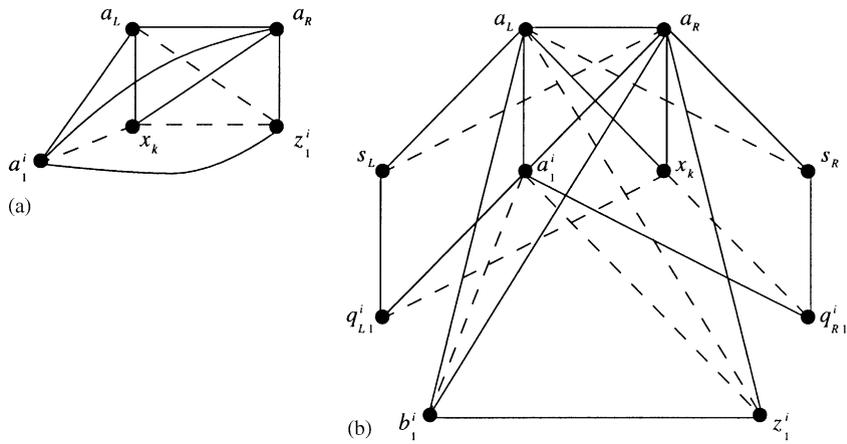


Fig. 2. Same side (a) and different side (b) gadgets respectively, for  $l_1^i = x_k$  and for  $l_1^i = \bar{x}_k$ .

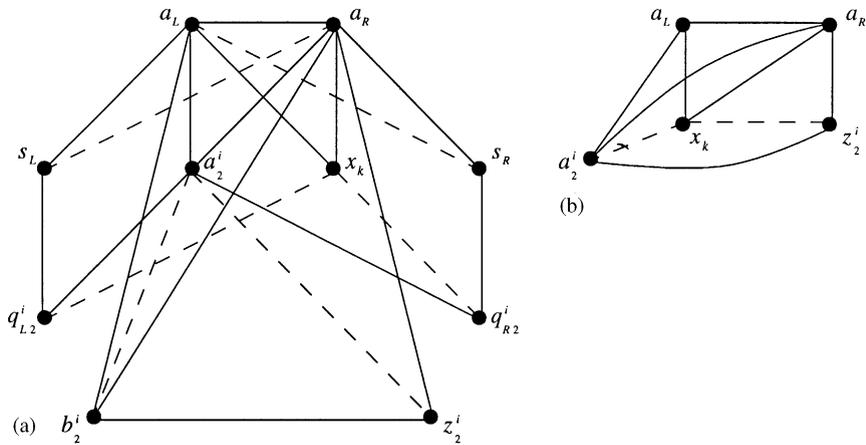


Fig. 3. Different side (a) and same side (b) gadgets respectively for  $l_2^i = x_k$  and for  $l_2^i = \bar{x}_k$ .

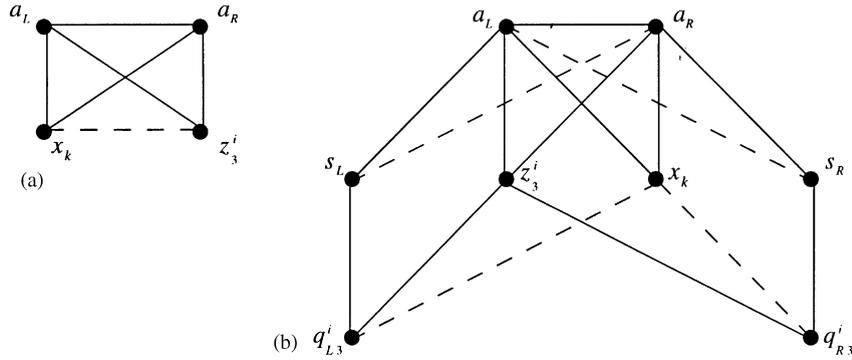


Fig. 4. Same side (a) and different side (b) gadgets respectively, for  $l_3^i = x_k$  and for  $l_3^i = \bar{x}_k$ .

The forbidden edge set  $E^3$  is the union of sets of edges:  $\{v_L s_R, v_S L, v_S R, a_R s_L, a_L s_R, a_L v_L\}$ ,  $\bigcup_{i=1}^m \{z_1^i z_2^i, a_L z_1^i\}$ ,  $\bigcup_{i=1}^m (D_1^i \cup D_2^i \cup D_3^i)$ , where  $D_1^i \cup D_2^i \cup D_3^i$  consists of auxiliary forbidden edges corresponding to each clause.

In the sequel, for  $j = 1, 2, 3$ , the auxiliary forced edges  $B_j^i$  and the auxiliary forbidden edges  $D_j^i$  will be detailed by considering six different cases.

Let  $c_i = (l_1^i \vee l_2^i \vee l_3^i)$  be a SAT clause. We have two kinds of gadgets which we call, respectively, same side gadget and different side gadget. The name and role of these gadgets will become clear when we prove Claims 2 and 3 for Lemma 2 below.

If  $l_1^i = x_k$ , then the following nodes and edges are added to construct a *same side* gadget:  $V_1^i = \{a_1^i\}$ ,  $B_1^i = \{a_1^i a_L, a_1^i a_R, z_1^i a_1^i, v_L a_1^i, v a_1^i\}$ ,  $D_1^i = \{z_1^i x_k, a_1^i x_k\}$ . We say that  $x_k$  and  $z_1^i$  are *connected* by a same side gadget.

On the other hand, if  $l_1^i = \bar{x}_k$ , where  $\bar{x}_k$  is the negation of variable  $x_k$ , then the following nodes and edges are added to construct a *different side* gadget:  $V_1^i = \{a_1^i, b_1^i, q_{L1}^i, q_{R1}^i\}$ ,  $B_1^i = \{s_L q_{L1}^i, s_R q_{R1}^i, a_L a_1^i, a_R a_1^i, a_L b_1^i, a_R b_1^i, a_1^i q_{L1}^i, a_1^i q_{R1}^i, b_1^i z_1^i, v_L a_1^i, v_L b_1^i, v_L q_{L1}^i, v a_1^i, v b_1^i, v q_{R1}^i\}$ ,  $D_1^i = \{q_{L1}^i x_k, q_{R1}^i x_k, z_1^i a_1^i, a_1^i b_1^i\}$ . We say that  $x_k$  and  $z_1^i$  are *connected* by a different side gadget.

If  $l_2^i = x_k$ , then the following nodes and edges are added to construct a *different side* gadget:  $V_2^i = \{a_2^i, b_2^i, q_{L2}^i, q_{R2}^i\}$ ,  $B_2^i = \{s_L q_{L2}^i, s_R q_{R2}^i, a_L a_2^i, a_R a_2^i, a_L b_2^i, a_R b_2^i, a_2^i q_{L2}^i, a_2^i q_{R2}^i, b_2^i z_2^i, v_L a_2^i, v_L b_2^i, v_L q_{L2}^i, v a_2^i, v b_2^i, v q_{R2}^i\}$ ,  $D_2^i = \{q_{L2}^i x_k, q_{R2}^i x_k, z_2^i a_2^i, a_2^i b_2^i\}$ .

We say that  $x_k$  and  $z_2^i$  are *connected* by a different side gadget. On the other hand, if  $l_2^i = \bar{x}_k$ , then the following nodes and edges are added to construct a *same side* gadget:  $V_2^i = \{a_2^i\}$ ,  $B_2^i = \{a_L a_2^i, a_R a_2^i, z_2^i a_2^i, v_L a_2^i, v a_2^i\}$ ,  $D_2^i = \{z_2^i x_k, x_k a_2^i\}$ . We say that  $x_k$  and  $z_2^i$  are *connected* by a same side gadget.

Finally, if  $l_3^i = x_k$ , then the following nodes and edges are added to construct a *same side* gadget:  $V_3^i = \emptyset$ ,  $B_3^i = \emptyset$ ,  $D_3^i = \{z_3^i x_k\}$ . We say that  $x_k$  and  $z_3^i$  are *connected* by a same side gadget. On the other hand, if  $l_3^i = \bar{x}_k$ , then the following nodes and edges are added to construct a *different side* gadget:  $V_3^i = \{q_{L3}^i, q_{R3}^i\}$ ,  $B_3^i = \{s_L q_{L3}^i, s_R q_{R3}^i, z_3^i q_{L3}^i, z_3^i q_{R3}^i, v_L q_{L3}^i, v q_{R3}^i\}$ ,  $D_3^i = \{x_k q_{L3}^i, x_k q_{R3}^i\}$ . We say that  $x_k$  and  $z_3^i$  are *connected* by a different side gadget.

Lemmas 2 and 3 prove the required equivalence for establishing Theorem 1.

**Lemma 2.** *If the particular instance  $(V, E^1, E^3)$  of GRAPH SANDWICH PROBLEM FOR 1-JOIN COMPOSITION constructed above admits a 1-join composition  $G = (V, E)$  such that  $E^1 \subseteq E$  and  $E \cap E^3 = \emptyset$ , then there exists a truth assignment that satisfies  $(X, C)$ .*

**Proof.** Suppose there exists a sandwich graph  $G = (V, E)$  that has a 1-join composition  $V_L | V_R$ , where  $V_L$  denotes the side of the partition that contains the node  $v_L$ . Let  $S_L, A_L, S_R$  and  $A_R$  be the special sets of this 1-join composition.

**Claim 1.**  $s_L \in S_L, a_L \in A_L, s_R \in S_R$ , and  $a_R \in A_R$ .

**Proof.** We first show that  $s_L, a_L \in V_L$  and  $s_R, a_R \in V_R$  by considering two cases.

*Case 1:*  $v \in V_L$ . Since  $\{w: wv_L \in E^1\} \cup \{w: wv \in E^1\} = V \setminus \{s_R\}$  and  $S_R \neq \emptyset$ , we have  $S_R = \{s_R\}$ . Since  $s_R \in S_R$  and  $s_R a_R \in E^1$ , we have  $a_R \in V_R$ . Since  $\{w: wa_R \in E^1\} \cup \{w: ws_L \in E^1\} \cup \{w: ws_R \in E^1\} = V \setminus \{s_L\}$  and  $S_L \neq \emptyset$ , we have  $s_L \notin V_R$  and hence  $s_L \in V_L$ . Since  $a_R v, a_R v_L \in E^1$ , we have  $v_L, v \in A_L$ . Since  $a_L v \in E^1$  and  $a_L v_L \in E^3$ , we have  $a_L \notin V_R$  and hence  $a_L \in V_L$ .

*Case 2:*  $v \in V_R$ . Since  $v_L v \in E^1$ , we have  $v_L \in A_L$  and  $v \in A_R$ . First suppose that  $s_L \in V_R$ . Since  $\{w: wv \in E^1\} \cup \{w: ws_L \in E^1\} = V \setminus \{v, s_L, s_R\}$  and  $S_L \neq \emptyset$ , we have  $S_L = \{s_R\}$ . Since  $s_R a_R \in E^1$ , we have  $a_R \in V_L$ . Since  $a_R v \in E^1$ , we have  $a_R \in A_L$ . But then  $s_L v_L \in E^1$  and  $s_L a_R \in E^3$  contradict the assumption that  $V_L | V_R$  is a 1-join composition. Therefore,  $s_L \in V_L$ . Since  $s_L v \in E^3$ , we have  $s_L \in S_L$ . Since  $a_L s_L \in E^1$ , we have  $a_L \in V_L$ . Suppose that  $a_R \in V_L$ . Since  $a_R v \in E^1$ , we have  $a_R \in A_L$ . Since  $s_R a_R \in E^1$  and  $s_R v_L \in E^3$ , we have  $s_R \notin V_R$  and hence  $s_R \in V_L$ . But then,  $\{w: wa_R \in E^1\} \cup \{w: ws_L \in E^1\} \cup \{w: ws_R \in E^1\} = V \setminus \{s_L\}$  implies that  $S_R = \emptyset$ , which contradicts the assumption that  $V_L | V_R$  is a 1-join composition. Therefore  $a_R \in V_R$ . Since  $a_R v_L \in E^1$ , we have  $a_R \in A_R$ . Since  $s_R a_R \in E^1$  and  $s_R v \in E^3$ , we have  $s_R \notin V_L$  and hence  $s_R \in V_R$ .

Therefore,  $a_L, s_L \in V_L$  and  $a_R, s_R \in V_R$ . Since  $a_L a_R \in E^1$ , we have  $a_L \in A_L$  and  $a_R \in A_R$ . Since  $s_L a_R \in E^3$ , we have  $s_L \in S_L$ . Since  $s_R a_L \in E^3$ , we have  $s_R \in S_R$ . This ends the proof of Claim 1.  $\square$

**Claim 2.** *For  $i = 1, \dots, m$  and  $j = 1, 2, 3$ , if  $z_j^i$  and  $x_k$ , for some  $k \in \{1, \dots, n\}$ , are connected by a same side gadget, then  $z_j^i$  and  $x_k$  are on the same side of 1-join composition  $V_L | V_R$  of  $G$ .*

**Proof.** By Claim 1,  $a_L \in A_L$  and  $a_R \in A_R$ . Since  $x_k a_L, x_k a_R \in E^1$ , we have  $x_k \in A_L \cup A_R$ . First suppose that  $j = 3$ . Since  $z_3^i a_L, z_3^i a_R \in E^1$ , we have  $z_3^i \in A_L \cup A_R$ . Since  $z_3^i x_k \in E^3$ , we have that  $z_3^i$  and  $x_k$  must be on the same side of 1-join composition  $V_L | V_R$ . Now assume that  $j = 1$  or 2. Since  $a_j^i a_L, a_j^i a_R \in E^1$ , we have  $a_j^i \in A_L \cup A_R$ . Since  $a_j^i x_k \in E^3$ , we have that  $a_j^i$  and  $x_k$  must be on the same side of 1-join composition  $V_L | V_R$ . Since  $z_j^i a_j^i \in E^1$  and  $z_j^i x_k \in E^3$ , we conclude that  $z_j^i$  must be on the same side of 1-join composition  $V_L | V_R$  as  $a_j^i$  and  $x_k$ . This ends the proof of Claim 2.  $\square$

**Claim 3.** For  $i = 1, \dots, m$  and  $j = 1, 2, 3$ , if  $z_j^i$  and  $x_k$ , for some  $k \in \{1, \dots, n\}$ , are connected by a different side gadget, then  $z_j^i$  and  $x_k$  are on different sides of 1-join composition  $V_L|V_R$  of  $G$ .

**Proof.** By Claim 1,  $s_L \in S_L$ ,  $a_L \in A_L$ ,  $s_R \in S_R$  and  $a_R \in A_R$ . Since  $s_L \in S_L$  and  $q_{Lj}^i s_L \in E^1$ , we have  $q_{Lj}^i \in V_L$ . Since  $s_R \in S_R$  and  $q_{Rj}^i s_R \in E^1$ , we have  $q_{Rj}^i \in V_R$ . Since  $x_k a_L$ ,  $x_k a_R \in E^1$ , we have  $x_k \in A_L \cup A_R$ . First suppose that  $j = 3$ . Since  $z_3^i a_L, z_3^i a_R \in E^1$ , we have  $z_3^i \in A_L \cup A_R$ . Since  $q_{R3}^i z_3^i \in E^1$  and  $q_{R3}^i x_k \in E^3$ , it follows that  $z_3^i$  and  $x_k$  cannot both be in  $V_L$ . Since  $q_{L3}^i z_3^i \in E^1$  and  $q_{L3}^i x_k \in E^3$ , it follows that  $z_3^i$  and  $x_k$  cannot both be in  $V_R$ . Hence,  $z_3^i$  and  $x_k$  are on different sides of 1-join composition  $V_L|V_R$ . Now assume that  $j = 1$  or  $2$ . By a similar argument as above,  $x_k$  and  $a_j^i$  must be on different sides of 1-join composition  $V_L|V_R$ . By a similar argument as in Claim 2 applied to vertices  $a_j^i, b_j^i$  and  $z_j^i$ , we have that  $a_j^i$  and  $z_j^i$  must be on the same side of 1-join composition  $V_L|V_R$ . Hence,  $x_k$  and  $z_j^i$  must be on different sides of 1-join composition  $V_L|V_R$ . This ends the proof of Claim 3.  $\square$

We now define the following truth assignment for  $(X, C)$ : for  $k = 1, \dots, n$ ,  $x_k$  is false if and only if vertex  $x_k \in V_L$ . By the construction of  $(V, E^1, E^3)$  and by Claims 2 and 3, for  $i = 1, \dots, m$ , literal  $l_1^i$  is false if and only if  $z_1^i \in V_L$ , literal  $l_2^i$  is false if and only if  $z_2^i \in V_R$ , and literal  $l_3^i$  is false if and only if  $z_3^i \in V_L$ . Suppose that for some  $i \in \{1, \dots, m\}$ , the clause  $c_i = (l_1^i \vee l_2^i \vee l_3^i)$  is false. Then  $z_1^i, z_3^i \in V_L$  and  $z_2^i \in V_R$ . By Claim 1,  $a_L \in A_L$  and  $a_R \in A_R$ . Since  $z_1^i a_R, z_3^i a_R \in E^1$ , we have  $z_1^i, z_3^i \in A_L$ . But then, since  $z_1^i z_2^i \in E^3$  and  $z_2^i z_3^i \in E^1$ , the assumption that  $V_L|V_R$  is a 1-join composition of  $G$  is contradicted. Hence, the above defined truth assignment satisfies  $(X, C)$ . This ends the proof of Lemma 2.  $\square$

The converse of Lemma 2 is given by Lemma 3.

**Lemma 3.** If there exists a truth assignment that satisfies  $(X, C)$ , then the particular instance  $(V, E^1, E^3)$  of GRAPH SANDWICH PROBLEM FOR 1-JOIN COMPOSITION constructed above admits a 1-join composition  $G = (V, E)$  such that  $E^1 \subseteq E$  and  $E \cap E^3 = \emptyset$ .

**Proof.** Suppose there is a truth assignment that satisfies  $(X, C)$ . We shall define a partition of  $V$  into sets  $V_L, V_R$  that in turn defines a solution  $G$  for the particular instance  $(V, E^1, E^3)$  of GRAPH SANDWICH PROBLEM FOR 1-JOIN COMPOSITION associated with the 3SAT instance  $(X, C)$ .

Place vertices  $v_L$ ,  $a_L$  and  $s_L$  in  $V_L$ , and vertices  $v$ ,  $a_R$  and  $s_R$  in  $V_R$ . For  $k = 1, \dots, n$ , if  $x_k$  is false, then place it in  $V_L$ , and otherwise in  $V_R$ . For each clause  $c_i$ ,  $i = 1, \dots, m$ , place the remaining vertices as follows: If  $l_1^i = x_k$ , then place  $z_1^i$  and  $a_1^i$  on the same side as  $x_k$ . If  $l_1^i = \bar{x}_k$ , then place  $q_{L1}^i$  in  $V_L$ ,  $q_{R1}^i$  in  $V_R$ , and  $a_1^i, b_1^i$  and  $z_1^i$  on a different side from  $x_k$ . If  $l_2^i = x_k$ , then place  $q_{L2}^i$  in  $V_L$ ,  $q_{R2}^i$  in  $V_R$ , and  $a_2^i, b_2^i$  and  $z_2^i$  on a different side from  $x_k$ . If  $l_2^i = \bar{x}_k$ , then place  $z_2^i$  and  $a_2^i$  on the same side as  $x_k$ . If  $l_3^i = x_k$ , then place  $z_3^i$  on the same side as  $x_k$ . If  $l_3^i = \bar{x}_k$ , then place  $q_{L3}^i$  in  $V_L, q_{R3}^i$  in

$V_R$  and  $z_3^i$  on a different side from  $x_k$ . Note that the above placement of vertices  $z_1^i, z_2^i$  and  $z_3^i, i=1, \dots, m$ , implies that for each clause  $c_i, i=1, \dots, m$ , literal  $l_1^i$  is false if and only if  $z_1^i \in V_L$ , literal  $l_2^i$  is false if and only if  $z_2^i \in V_R$ , and literal  $l_3^i$  is false if and only if  $z_3^i \in V_L$ .

Sets  $S_L$  and  $S_R$  are defined as follows: Place  $s_L$  in  $S_L$  and  $s_R$  in  $S_R$ . For  $i=1, \dots, m$  and  $j=1, 2, 3$ : if  $z_j^i$  is connected by a different side gadget, then if  $z_j^i$  is in  $V_L$  place  $q_{Lj}^i$  in  $S_L$ , and if  $z_j^i$  is in  $V_R$  place  $q_{Rj}^i$  in  $S_R$ . For  $i=1, \dots, m$ , if  $z_1^i$  is in  $V_R$ , then place  $z_1^i$  in  $S_R$ , and if  $z_2^i$  and  $z_3^i$  are both in  $V_R$ , then place  $z_2^i$  in  $S_R$ .

Let  $A_L = V_L \setminus S_L$  and  $A_R = V_R \setminus S_R$ . Let  $E = E^1 \cup \{uy: u \in A_L \text{ and } y \in A_R\}$ . To show that the graph  $G=(V, E)$  is a sandwich graph with 1-join composition  $V_L|V_R$ , we need to show that the following types of edges do not exist:  $uy \in E^3$  such that  $u \in A_L$  and  $y \in A_R$ ,  $uy \in E^1$  such that  $u \in S_L$  and  $y \in V_R$ ,  $uy \in E^1$  such that  $u \in V_L$  and  $y \in S_R$ .

Let  $e \in E^3$  and suppose that one endnode of  $e$  is in  $A_L$  and the other is in  $A_R$ . Then neither  $s_L$  nor  $s_R$  is an endnode of  $e$ . Edge  $e$  cannot be of the form  $a_L z_1^i$ , for some  $i \in \{1, \dots, m\}$ , because  $a_L \in A_L$  and if  $z_1^i$  is in  $V_R$  then  $z_1^i$  is in  $S_R$ . Edge  $e$  cannot be of the form  $z_1^i z_2^i$ , for some  $i \in \{1, \dots, m\}$ , because that would imply that  $z_1^i$  is in  $V_L$  (since if  $z_1^i$  is in  $V_R$  then it is in  $S_R$ ) and so  $z_2^i$  is in  $A_R$ , which means that  $z_3^i$  is in  $V_L$  (since  $z_2^i$  and  $z_3^i$  are both in  $V_R$  then  $z_2^i$  is in  $S_R$ ), which would imply that all three literals in  $c_i$  are false. Let  $i \in \{1, \dots, m\}$ . If  $z_1^i$  and  $x_k$  are connected by a same side gadget, then  $e \notin D_1^i$  since  $x_k, z_1^i$  and  $a_1^i$  are all placed on the same side. If  $z_1^i$  and  $x_k$  are connected by a different side gadget, then  $a_1^i, b_1^i$  and  $z_1^i$  are all placed on the same side (hence  $e \neq a_1^i b_1^i, z_1^i a_1^i$ ),  $z_1^i$  and  $x_k$  are placed on different sides, so if  $x_k \in V_L$  then  $q_{R1}^i \in S_R$  (hence  $e \neq x_k q_{L1}^i, x_k q_{R1}^i$ ), and if  $x_k \in V_R$  then  $q_{L1}^i \in S_L$  (hence  $e \neq x_k q_{L1}^i, x_k q_{R1}^i$ ). Therefore  $e \notin D_1^i$ . Similarly  $e \notin D_2^i \cup D_3^i$ .

Let  $e \in E^1$  and suppose one endnode of  $e$  is in  $S_L$  and the other is in  $V_R$ . If  $s_L u \in E^1$ , then  $u = a_L, v_L$  or  $q_{Lj}^i$  for some  $i \in \{1, \dots, m\}$  and  $j \in \{1, 2, 3\}$ . Since all these nodes are in  $V_L$ , edge  $e$  cannot have  $s_L$  as an endnode. Thus an endnode of  $e$  is  $q_{Lj}^i$  for some  $i \in \{1, \dots, m\}$  and  $j \in \{1, 2, 3\}$ . But then  $q_{Lj}^i \in S_L$ , hence  $z_j^i \in V_L$  and so  $e \neq q_{L3}^i z_3^i, q_{L2}^i a_2^i, q_{L1}^i a_1^i$ .

Let  $e \in E^1$  and suppose that one endnode of  $e$  is in  $S_R$  and the other is in  $V_L$ . If  $s_R u \in E^1$ , then  $u = a_R$  or  $q_{Rj}^i$  for some  $i \in \{1, \dots, m\}$  and  $j \in \{1, 2, 3\}$ . Since all these nodes are in  $V_R$ , edge  $e$  cannot have  $s_R$  as an endnode. Suppose that for some  $i \in \{1, \dots, m\}$  and  $j \in \{1, 2, 3\}$ ,  $q_{Rj}^i$  is an endnode of  $e$ . Then  $q_{Rj}^i \in S_R$ , hence  $z_j^i \in V_R$  and so  $e \neq q_{R1}^i a_1^i, q_{R2}^i a_2^i, q_{R3}^i z_3^i$ . Suppose that for some  $i \in \{1, \dots, m\}$ ,  $z_1^i \in S_R$  and that  $z_1^i$  is an endnode of  $e$ . Then  $e \neq z_1^i a_R, z_1^i v$ , since  $a_R, v \in V_R$ . If  $z_1^i$  and  $x_k$  are connected by a same side gadget, then  $e \neq z_1^i a_1^i$ , since  $z_1^i$  and  $a_1^i$  are placed on the same side. If  $z_1^i$  and  $x_k$  are connected by a different side gadget, then  $e \neq z_1^i b_1^i$ , since  $z_1^i$  and  $b_1^i$  are placed on the same side. Thus we may assume that for some  $i \in \{1, \dots, m\}$ ,  $z_2^i \in S_R$  and that  $z_2^i$  is an endnode of  $e$ . Then  $z_3^i \in V_R$  and hence  $e \neq z_2^i z_3^i$ . Since  $a_R, v \in V_R$ , we have  $e \neq z_2^i a_R, z_2^i v$ . If  $z_2^i$  and  $x_k$  are connected by a same side gadget, then  $e \neq z_2^i a_2^i$ , since  $z_2^i$  and  $a_2^i$  are placed on the same side. If  $z_2^i$  and  $x_k$  are connected by a different side gadget, then  $e \neq z_2^i b_2^i$ , since  $z_2^i$  and  $b_2^i$  are placed on the same side.  $\square$

Note that the particular instances  $(V, E^1, E^3)$  of GRAPH SANDWICH PROBLEM FOR 1-JOIN COMPOSITION constructed in the proof of Theorem 1 always admit a solution for GRAPH SANDWICH PROBLEM FOR HOMOGENEOUS SET by defining  $H = \{v_L, a_L\}$ . Therefore, we have established that GRAPH SANDWICH PROBLEM FOR 1-JOIN COMPOSITION is NP-complete, even when restricted to instances that admit a solution for the homogeneous set sandwich problem.

## Acknowledgements

We are grateful to Luerbio Faria for helping us with the figures and to Márcia Rosana Cerioli for many conversations on sandwich problems. This research was done while the third author was visiting Universidade Federal do Rio de Janeiro supported by Conselho Nacional de Desenvolvimento Científico e Tecnológico, CNPq. The first two authors are partially supported by CNPq and PRONEX. We thank referees for their careful reading and valuable suggestions, which helped improve the presentation of this paper.

## References

- [1] C. Berge, Les problèmes de coloration en théorie des graphes, *Publ. Inst. Statist. Univ. Paris* 9 (1960) 123–160.
- [2] C. Berge, *Graphs and Hypergraphs*, North-Holland, Amsterdam, 1973. Translated from the French by Edward Minieka, North-Holland Mathematical Library, Vol. 6.
- [3] R.E. Bixby, A Composition for Perfect Graphs, *Topics on perfect graphs*, North-Holland, Amsterdam, 1984, pp. 221–224.
- [4] M. Cerioli, H. Everett, C.M.H. de Figueiredo, S. Klein, The homogeneous set sandwich problem, *Inf. Process. Lett.* 67 (1) (1998) 31–35.
- [5] A. Courmier, *Sur quelques Algorithmes de Décomposition de Graphes*, Thèse Université Montpellier II, France, 1993.
- [6] W.H. Cunningham, A combinatorial decomposition theory, Thesis, University of Waterloo, Canada, 1973.
- [7] W.H. Cunningham, Decomposition of directed graphs, *SIAM J. Algebraic Discrete Methods* 3 (2) (1982) 214–228.
- [8] W.H. Cunningham, J. Edmonds, A combinatorial decomposition theory, *Canad. J. Math.* 32 (3) (1980) 734–765.
- [9] M.C. Golumbic, Matrix sandwich problems, *Linear Algebra Appl.* 277 (1–3) (1998) 239–251.
- [10] M.C. Golumbic, H. Kaplan, R. Shamir, On the complexity of DNA physical mapping, *Adv. in Appl. Math.* 15 (3) (1994) 251–261.
- [11] M.C. Golumbic, H. Kaplan, R. Shamir, Graph sandwich problems, *J. Algorithms* 19 (3) (1995) 449–473.
- [12] M.C. Golumbic, R. Shamir, Complexity and algorithms for reasoning about time: a graph-theoretic approach, *J. Assoc. Comput. Mach.* 40 (5) (1993) 1108–1133.
- [13] M.C. Golumbic, A. Wassermann, Complexity and algorithms for graph and hypergraph sandwich problems, *Graphs Combin.* 14 (3) (1998) 223–239.
- [14] H. Kaplan, R. Shamir, Pathwidth, bandwidth, and completion problems to proper interval graphs with small cliques, *SIAM J. Comput.* 25 (3) (1996) 540–561.
- [15] H. Kaplan, R. Shamir, Bounded degree interval sandwich problems, *Algorithmica* 24 (2) (1999) 96–104.
- [16] D.S. Johnson, The NP-Completeness column: an ongoing guide, *J. Algorithms* 2 (4) (1981) 393–405.

- [17] L. Lovász, Normal hypergraphs and the perfect graph conjecture, *Discrete Math.* 2 (3) (1972) 253–267.
- [18] R.M. McConnell, J.P. Spinrad, Linear-time modular decomposition and efficient transitive orientation of comparability graphs, *Proceedings of the Fifth Annual ACM-SIAM Symposium on Discrete Algorithms*, Arlington, VA, ACM, New York, 1994, pp. 536–545.
- [19] J.H. Muller, J. Spinrad, Incremental modular decomposition, *J. Assoc. Comput. Mach.* 36 (1) (1989) 1–19.
- [20] B. Reed, A semi-strong perfect graph theorem, Ph.D. Thesis, School of Computer Science, McGill University, Canada, 1986.
- [21] J. Spinrad,  $P_4$ -trees and substitution decomposition, *Discrete Appl. Math.* 39 (3) (1992) 263–291.